

13281 U.S.PTO  
010604U.S.PTO  
10/751503  
010604

## UTILITY PATENT APPLICATION TRANSMITTAL

Address to: <b>Box PATENT APPLICATION</b> Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450	Attorney Docket No.	LEEH3016/EM
	First Named Inventor (or identifier)	Hsiu-Chun LEE
	Total Pages	20

Transmitted herewith is a patent application under 37 CFR 1.53(b).

Entitled:	Method For Forming Shallow Trench In Semiconductor Device
-----------	---

1. Submitted herewith are the following:  
**6 pages of specification, including claims and Abstract.**  
**4 sheets of FORMAL drawings (Figs. 1-7).**  
**10 claims.**  
**1 Oath/Declaration signed by each inventor.**  
**1 Application Data Sheet.**  
**1 Assignment of the invention to NANYA Technology Corporation, Taoyuan, Taiwan, R.O.C., Cover Sheet, and payment of the \$40 recordal fee.**  
**1 check in the amount of \$810 (\$770- Filing Fee; \$40- Assignment Recordation Fee).**

2. SMALL ENTITY STATUS IS ASSERTED pursuant to 37 CFR 1.27 for this application.

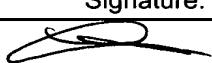
3. The Commissioner is authorized to credit any overpayment and charge any deficiency in any fees required under 37 CFR 1.16 and/or 1.17, to Deposit Account No. 02-0200.

4. Insert before the first sentence of the specification: -- This application claims the benefit of provisional application number \_\_\_\_\_ filed \_\_\_\_\_. --

5. Insert before the first sentence of the specification: -- This application is a Continuation-in-part of nonprovisional application number \_\_\_\_\_ filed \_\_\_\_\_. --

6. Other: \_\_\_\_\_

The registered practitioners representing applicant(s) are J. Ernest Kenney, Reg. No. 19,179; Eugene Mar, Reg. No. 25,893; Richard E. Fichter, Reg. No. 26,382; Thomas J. Moore, Reg. No. 28,974; Joseph DeBenedictis, Reg. No. 28,502; and Benjamin E. Urcia, Reg. No. 33,805.

THE FILING FEE IS CALCULATED AS FOLLOWS:				Basic Fee:	\$770.00
Total Claims:	10	- 20 =	0	X \$18 =	\$0.00
Independent Claims:	2	- 3 =	0	X \$86 =	\$0.00
Correspondence Address: <b>BACON &amp; THOMAS, PLLC</b> 625 Slaters Lane, 4 <sup>th</sup> Floor Alexandria, VA 22314-1176				Multiple Dependent Claim (add \$290.00):	\$0.00
23364 CUSTOMER NUMBER				Subtotal:	\$770.00
				50% Reduction if Small Entity Status:	\$0.00
Phone: 703-683-0500      Fax: 703-683-1080				Total:	\$770.00
Date:	Name:		Signature:	Reg. No.	
January 6, 2004	Eugene Mar			25,893	